

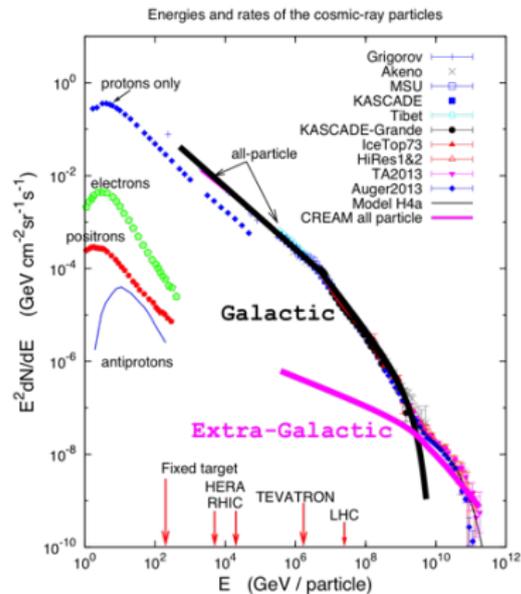
Acceleration of CRs in SNR shocks: Acid Test

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Supported by NASA *Astrophysics Theory Program* under grants
NNX14AH36G and 80NSSC17K0255



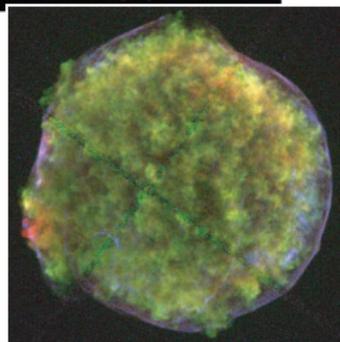
More than 100 years of cosmic ray research...



IceCube compilation of CR spectrum

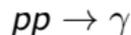
- CR energy spectrum was long thought to be a **featureless power law**:
 - a hallmark of the underlying acceleration mechanism:
 - **diffusive shock acceleration, DSA**
- DSA **rigidity (p/Z)** spectra should be the same for all CR species, **independent** of $\text{sgn}(Z)$
- Any change in power-law index interpreted as change of acceleration regime, source (galactic-extragalactic, etc.)

- 1 Preliminary Information
 - The Hypothesis: CR Origin in SNRs via DSA mechanism
 - DSA – The Diffusive Shock Acceleration – Test Particle vs Nonlinear
- 2 Disagreements with the standard DSA
 - Disagreement #1: Anomalies in positron spectrum
 - EXISTING explanations, issues
- 3 NEW: Minimal assumptions, single source (SNR) scenario
 - e^\pm asymmetry of acceleration: Molecular Clumps
 - Minimum in $e^+ / (e^+ + e^-)$: NL DSA
- 4 Conclusions: no room (almost) for DM/Pulsars contribution
- 5 Facing the challenges of Today and Tomorrow
 - Disagreement #2: Violation of Rigidity Law
 - ATIC, Pamela and AMS-02 p/He anomaly



SN 1006 and SN 1572
(Tycho), Reynolds 2008 and
Warren et al 2005

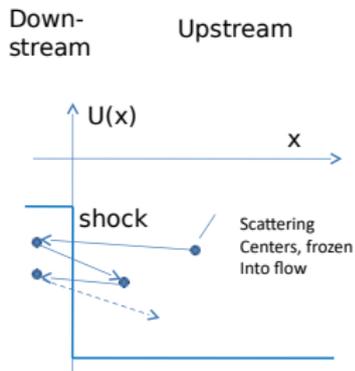
- At least some of the galactic SNR are expected to produce CR up to 10^{15} eV (knee energy)
- “Direct” detection is possible only as secondary emission
 - observed from radio to gamma
 - electron acceleration up to $\sim 10^{14} \text{ eV}$ is considered well established, synchrotron emission in x-ray band (Koyama et al 1995, Bamba et al 2003)
 - tentative evidence of proton acceleration from nearby molecular clouds:



Fermi-LAT, HESS, Agile,...

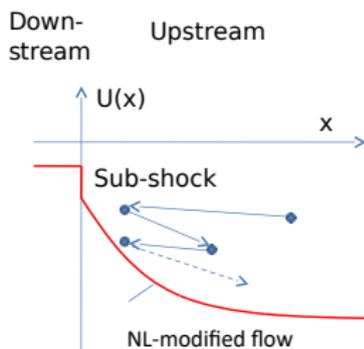
Essential DSA (aka Fermi-I process, E. Fermi, ~1950s)

Linear (TP) phase of acceleration



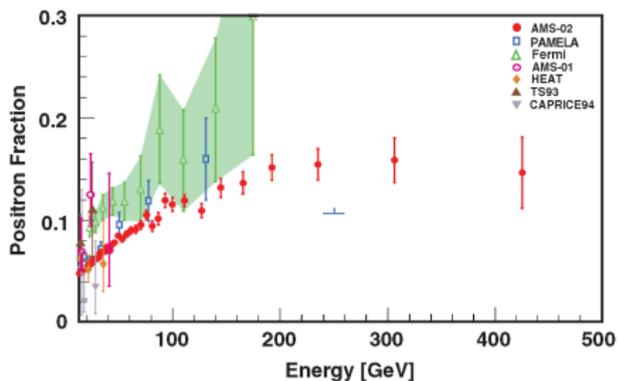
- CR trapped between converging mirrors:
 $p\Delta x \approx \text{const}$
- CR spectrum depends on shock compression, r :
 $f \sim p^{-q}$, $q = 3r/(r-1)$,
 $r = q = 4$, Mach $M \rightarrow \infty$

NL, with CR back-reaction

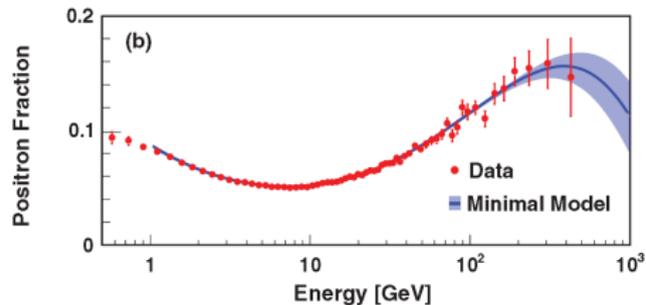


- Ind $q \rightarrow q(p)$: soft at low p :
 - $q = 3r_s/(r_s - 1) \sim 5$
- hard at high p : $q \rightarrow 3.5$
- for $M > 10$, $E_{\text{max}} \gtrsim 1 \text{ TeV}$
(MM'97) acceleration **must** go nonlinear (confirmed by, e.g., Amato, Blasi, Caprioli, Reville, ...analyses and numerics in 2000s)

Positron Anomaly (excess)



- Positron excess ([Accardo et al 2014](#))
- Observed by different instruments for several years
- Dramatically improved statistics by AMS-02 (published in 2014)



Things to note:

- Remarkable **min at ≈ 8 GeV**
- Unprecedented accuracy in the range 1-100 GeV
- Saturation (slight decline?) trend beyond 200 GeV
- Eagerly awaiting next data release!

Suggested explanations of positron excess

- focus on the rising branch of $e^+/(e^+ + e^-)$
- invoke secondary e^+ from CR pp with thermal gas

Problems:

- Tensions with \bar{p} : secondaries with differing spectra
- Poor fits, free parameters, no physics of 8 GeV upturn...

Alternative suggestions:

- Pulsars (lacking accurate acceleration models)
- Dark matter contribution ??

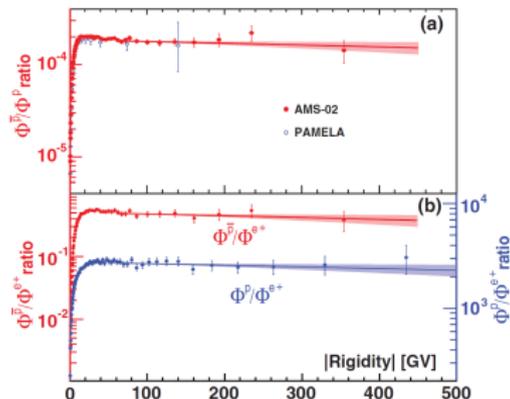
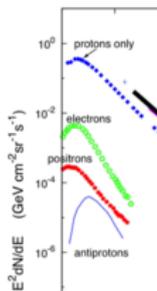
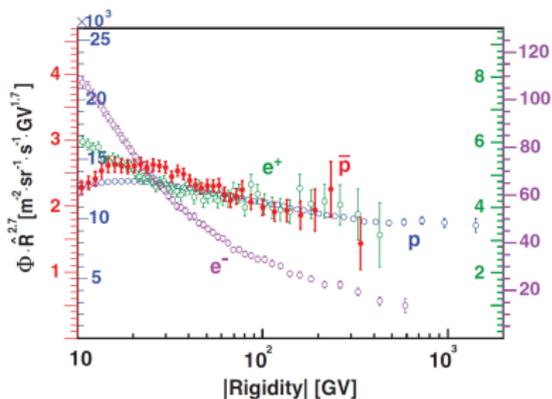
Stating the Obvious

- DSA@SNR' predictive capability \gg Pulsar or DM models
- \rightarrow DM/P- only if the DSA@SNR fails

Upshot

- SNR contribution **constrains** DM/Pulsar contributions

Possible hints from ρ and \bar{p}

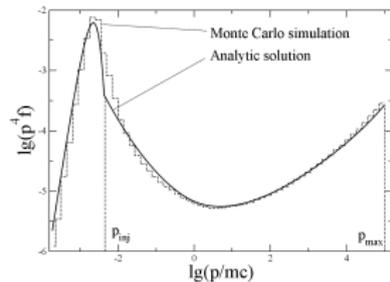
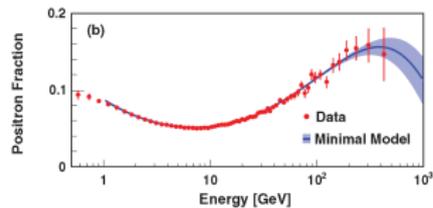
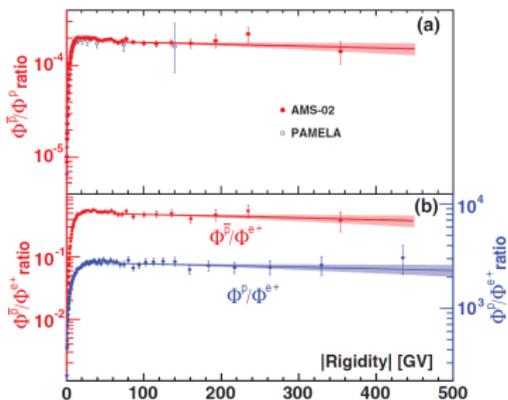


AMS-02:Aguilar+ 2016

particle\property	charge	mass	secondary?	pulsar?
p	+	M	no	no
\bar{p}	-	M	yes	no
e^+	+	m	both	yes
e^-	-	m	no	both

- account for e^+ fraction by a **single-source**, a nearby SNR (contribution from similar sources not excluded)
- explain physics of decreasing and increasing branches, 8 GeV min
 - \rightarrow lends credence to high energy predictions
- understand \bar{p}/p and e^+/p flat spectra as intrinsic, not coincidental:
 - most likely \bar{p} and e^+ accelerated similarly to protons, whenever injected BUT:
 - $\bar{p}/p = e^+/p \neq e^+/e^-$ - Why so?
- plausible answer: acceleration/injection is *charge-sign and mass/charge ratio dependent*
- understand the physics of charge-sign and m/e selectivity

The Hints



- \bar{p} fraction is flat on the rising e^+ fraction branch $E > 8$ GeV

Analytic sol. MM'97

MC-Don Ellison

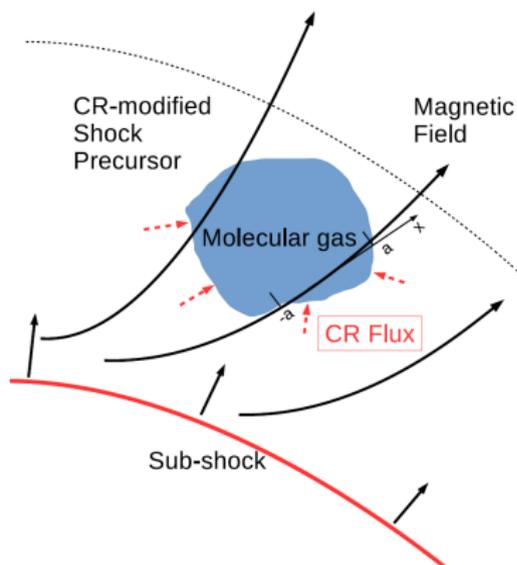
- Opposite trends in e^+/e^- and \bar{p}/p spectra at $E < 8$ GeV
- Both are *fractions*, thus eliminating charge-sign independent aspects of propagation and acceleration (still, HS effects?)
- Striking similarity with NL DSA solution, assuming most of e^- are accelerated to p^{-4} (standard DSA)

The Assumptions

- SNR shock propagates in “clumpy” molecular gas ($n_{\text{H}} \gtrsim 30\text{cm}^{-3}$, filling factor $f_{\text{V}} \sim 0.01$)
 - High-energy protons are already accelerated to (at least) $E \sim 10^{12}\text{eV}$ to make a strong impact on the shock structure (CR back reaction, NL shock modification)
 - Acceleration process thus **transitioned** into an efficient regime (in fact, **required to**, once $E \gtrsim 1\text{ TeV}$, $M \gtrsim 10 - 15$ and the fraction of accelerated protons $\gtrsim 10^{-4} - 10^{-3}$)
-

- The SNR is not too far away, possibly magnetically connected, thus making significant contribution to the local CR spectrum
- Other SNRs of this kind may or may not contribute

Interaction of shock-acc'd CRs with gas clumps (MC)



- Shock-acc'd CRs form a precursor : κ - CR diff. coeff.,

$$L_p \sim \kappa / u_{sh}$$

- With some help from plasma textbooks...
- Maximum electric field due to $e - i$ collisions

$$E_{\max} \simeq \frac{m_e}{e} u_{sh} \nu_{ei} \frac{n_{CR}^0}{n_i}$$

- maximum ES potential inside

$$\frac{e\phi_{\max}}{m_p c^2} \sim \frac{a}{1pc} \frac{u_{sh}}{c} \frac{n_{CR}}{1cm^{-3}} \left(\frac{1eV}{T_e} \right)^{3/2}$$

Short digression into elementary plasma physics

- plasmas enforce almost “zero-tolerance” policy in regard to violation of their charge neutrality

Example

take 1cm^3 of air

ionize and separate p and e to distance $r = 0.5\text{ cm}$

the resulting force

$$F = e^2 N^2 / r^2 \sim 10^{16} \text{lb} \quad (\propto n^2 r^4)$$

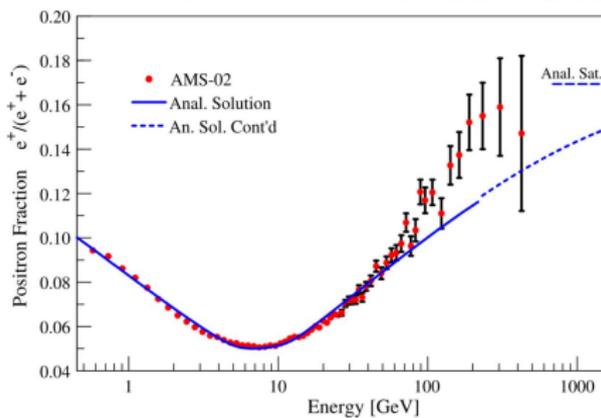
As $N \sim 10^{19}$, $I = 13.6\text{ eV}$, ionization energy only $\sim 100\text{ Joules}$

- similarly, injection of an external charge into plasma must lead to enormous electrostatic forces
- key words here are “separate” and “inject”
- need a powerful mechanism: **energetic CRs can do that**

\mathbf{E} in MC: Injection/acceleration of e^+ and \bar{p} into DSA

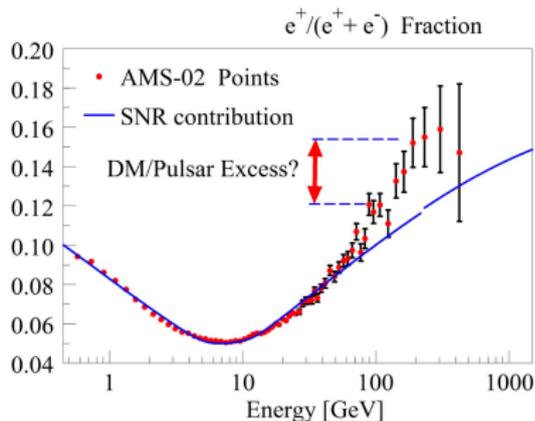
- electric field traps e^- and some \bar{p} inside MC
- **ejects** secondary e^+
→ charge-sign asymmetry

PHYSICAL REVIEW D **94**, 063006 (2016)



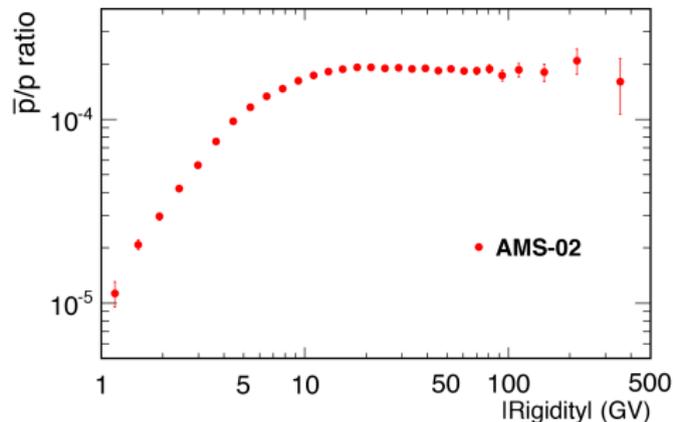
- e^+ are pre-accelerated in \mathbf{E} to $\lesssim 1$ GeV and readily injected into DSA
- at $E_e \lesssim$ few GeV, e^+ spectrum is dominated by the subshock compression ratio, r_s
 - spectral index
 $q = q_s \equiv 3r_s / (r_s - 1)$ and the spectrum $f_{e^+} \propto p^{-q_s}$.
- at higher energies, particles perceive higher flow compression
 - PL-index inside the source
 $q \rightarrow 3.5$

e^+ spectra, compared and contrasted to e^-



- e^- are from the TP phase with p^{-4} source spectra (and other TP-SNRs)
- $\implies e^+/(e^- + e^+)$ -spectrum = p -spectrum in $p^4 f(p)$ customary normalization

- ratio $e^+/(e^- + e^+)$ is de-propagated and probes directly into the **positron accelerator!**
- before **DM/pulsars** are declared responsible for the excess above the SNR (blue curve), the following (prosaic) aspects may be considered:
 - 1 e^+ release from MC farther upstream (additional spectrum hardening)
 - 2 synchrotron pile-up near the cut-off energy
 - 3 electrostatic breakdown of MC with enhanced e^+ generation

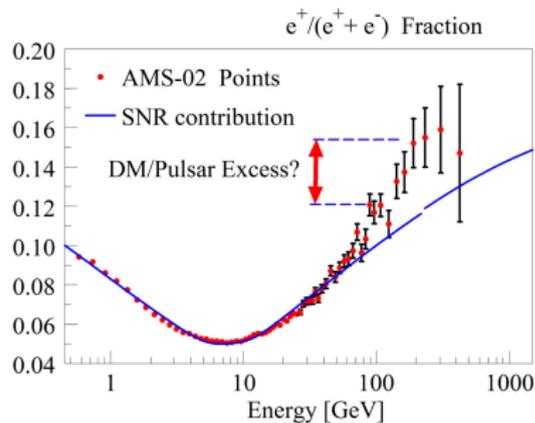
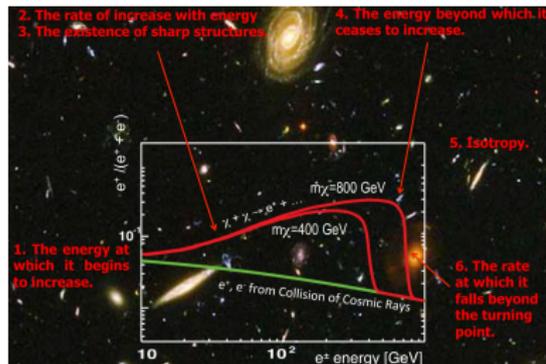


- If most of \bar{p} and p come from the same source as e^+ (\bar{p} generated in MCs ahead of SNR shock), the \bar{p} and e^+ spectra should be the same as p at $E \gtrsim 10$ GeV

- Similarly, \bar{p}/p should be flat if \bar{p} are co-injected (albeit as secondaries) into any SNR-DSA process
- Decline of \bar{p} at lower energies is consistent with electrostatic retention in MC
- Solar modulation may also contribute to $p - \bar{p}$ difference at lower energies
- Flat \bar{p}/p should continue up to $p \sim p_{\max}$ and decline at $p \gtrsim p_{\max}$ (secondaries with no acceleration)

- secondary positrons from pp collisions in MCs ahead of SNR, expelled into shock precursor are seeded for DSA
- shock-accelerated positrons develop a concave spectrum, characteristic for the NL DSA.
- most of the negatively charged light secondaries (e^-), and to some extent, \bar{p} , remain inside MCs making less (\bar{p}), or almost no contribution (e^-) to the overall spectrum, compared to e^+
- due to the NL subshock reduction, the MC remains unshocked, so that secondary \bar{p} and, in part, heavier nuclei accumulated in its interior largely **evade shock acceleration**
- the AMS-02 **positron excess is not fully accounted for only in the range $\sim 200 - 400\text{GeV}$** , BUT:
- physical phenomena to be included in the next-step model (e^+/e^- run-away breakdown, Syn. pile-up, etc.) are may suffice for a conventional explanation of the residual excess

Not every bump in the data is from DM



Rigidity Law of Shock Acceleration and Propagation

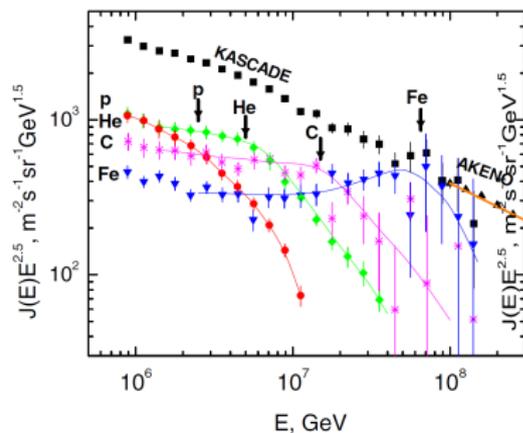
- Equations of motion, written for particle rigidity $\mathcal{R} = \mathbf{p}c/eZ$ instead of momentum:

$$\frac{1}{c} \frac{d\mathcal{R}}{dt} = \mathbf{E}(\mathbf{r}, t) + \frac{\mathcal{R} \times \mathbf{B}(\mathbf{r}, t)}{\sqrt{\mathcal{R}_0^2 + \mathcal{R}^2}},$$

$$\frac{1}{c} \frac{dr}{dt} = \frac{\mathcal{R}}{\sqrt{\mathcal{R}_0^2 + \mathcal{R}^2}}.$$

- EM-fields $\mathbf{E}(\mathbf{r}, t)$ and $\mathbf{B}(\mathbf{r}, t)$ are arbitrary
- \rightarrow all species with $\mathcal{R} \gg \mathcal{R}_0 = Am_p c^2 / Ze$ (A is the atomic number and m_p - proton mass, so $\mathcal{R}_0 \sim A/Z$ GV), have identical orbits in the phase space $(\mathbf{r}, \mathcal{R})$.
- species with different A/Z should develop the same rigidity spectra at $\mathcal{R} \gg \mathcal{R}_0$, if they enter acceleration at a constant ratio

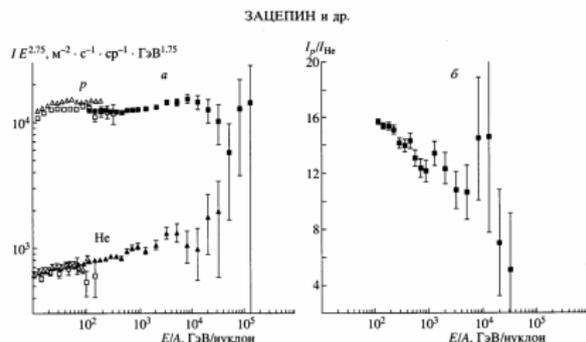
Some support for Rigidity Law



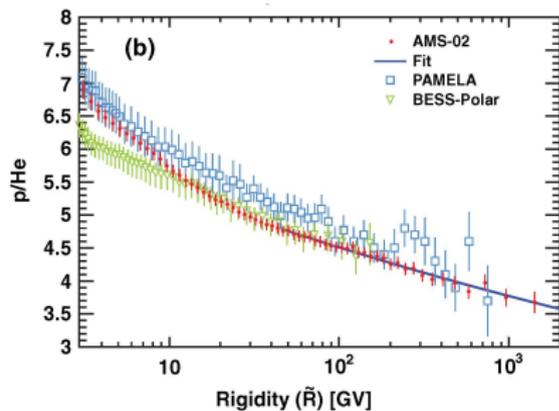
CR spectra of different elements in the knee area (from Berezhinsky Review)

- cut-offs of different elements are organized by rigidity rule for acceleration and propagation
- if p 's and He^{2+} start acceleration at $\mathcal{R} \gg \mathcal{R}_0$ in a ratio N_p/N_{He}
- this ratio is maintained in course of acceleration and the rigidity spectra must be identical
- if both species propagate to observer without collisions, they should maintain the same N_p/N_{He}
- DSA predicts distribution $\propto \mathcal{R}^{-q}$ where, q depends on Mach number as $q = 4 / (1 - M^{-2})$

Violation of Rigidity Law



Zatsepin et al. 2004 (ATIC)



AMS-02 (2015) results along with earlier data

Key Distinction:

- Several instruments revealed deviation (≈ 0.1 in spectral index) between He and p 's, claimed inconsistent with DSA (e.g., Adriani et al 2011)
- DSA predicts a flat spectrum for the He/ p ratio
- similar result obtained recently by AMS-02 for C/ p ratio
- points to initial phase of acceleration where elemental similarity (rigidity dependence only) does not apply
- A/Z is the same for He and C

Some explanations of He spectral hardening

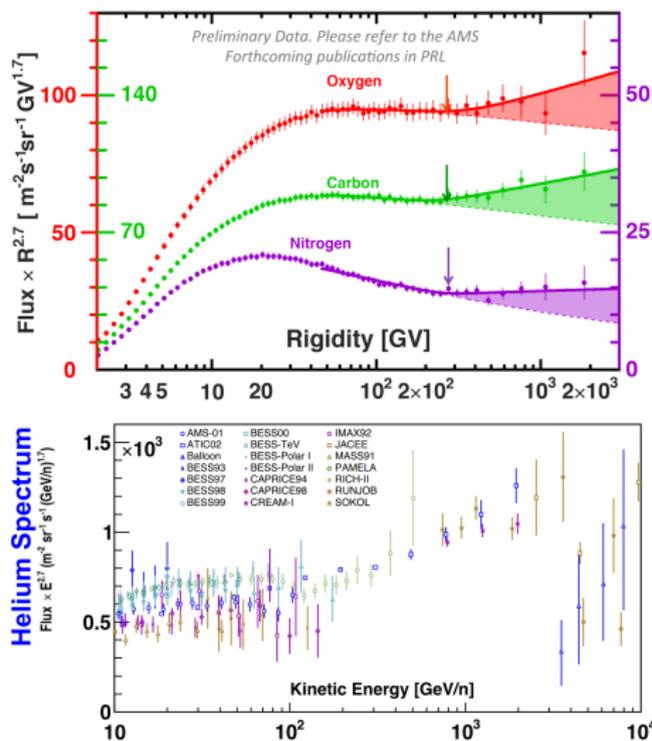
- three different types of SNRs contribute [Zatsepin & Sokolskaya \(2006\)](#)
- outward-decreasing He abundance in certain SNR, such as super-bubbles, result in harder He spectra, as generated in stronger shocks [Ohira & Ioka \(2011\)](#)
- He is neutral when processed by weak shocks. It is ionized when the SNR shocks are young and strong, [Drury, 2011](#)
- p/He -- Forward/reverse SNR shock, [Ptuskin & Zirakashvili, 2012](#)

Issues:

- most suggestions are hard to reconcile with Occam's razor principle
- tension with the He-C-O striking similarity
- spallation scenarios overproduce CR secondaries

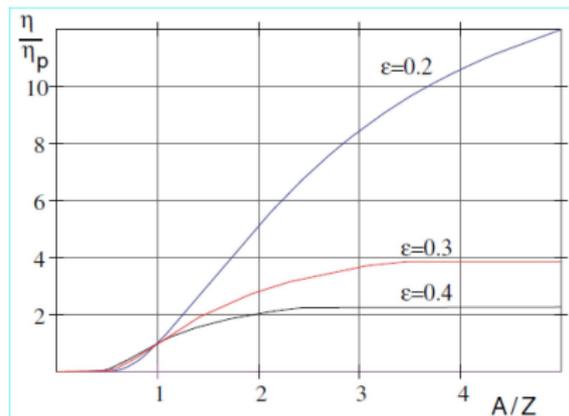
Recent AMS-02 hint on the origin of p/He Anomaly

Kounine, AMS-02 (2017) ICRC 2017



- flat C/He ratio eliminates most scenarios
- points to initial phase of acceleration, *injection*, where elemental similarity (rigidity dependence only) does not apply
- A/Z is the same for He and C
- $\mathcal{R}_0 = Am_p c^2 / Ze$ that determines the injection from thermal plasma also the same

Occam's approach to p/He acceleration by DSA@SNR



Injection efficiency (normalized to proton, MM'98)

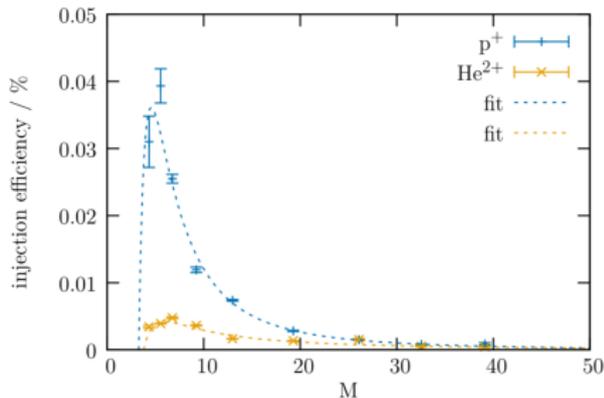
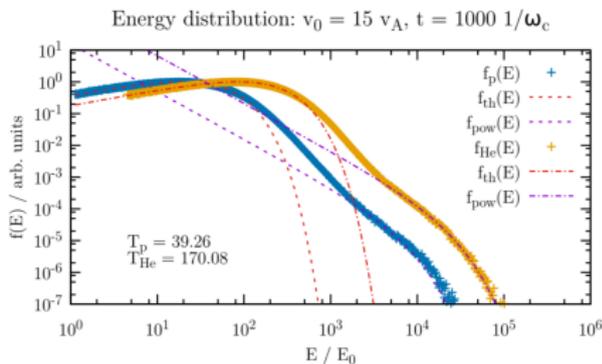
Assumptions:

- single source (SNR)
 - shock propagates into ionized homogeneous plasma
- shock radius $R(t)$ and Mach (t) from Sedov-Taylor solution

Main ideas:

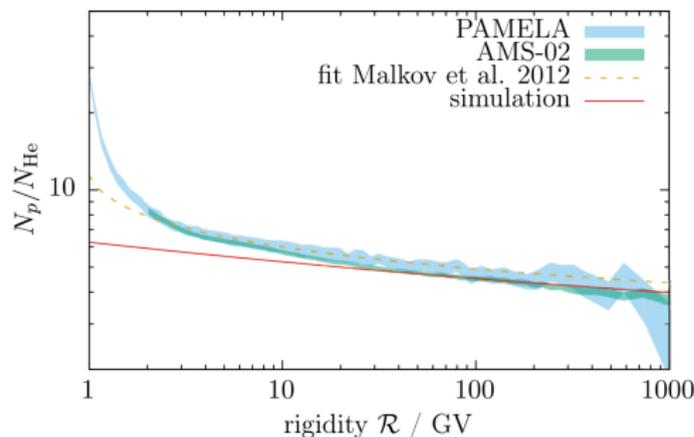
- preferential injection of He into DSA for higher Mach numbers
- injection dependence on A/Z and on ϵ , inverse wave amplitude $\epsilon \sim B_0/\delta B \propto M^{-1}$
- η_{inj} saturates with A/Z (cf $(A/Z)^2$ -? Caprioli's talk on Monday). **Physically, should even $\rightarrow 0$ for $A/Z \rightarrow \infty$**
- injection bias is due to Alfvén waves driven by protons, thus retaining protons downstream more efficiently than He, C and other high A/Z species

Validating Physical ideas by hybrid Simulations



- 1D in configuration space, full velocity space simulations
 - shock propagates into ionized homogeneous plasma
- p and He are thermalized downstream according to Rankine-Hugoniot relations
- preferential injection of He into DSA for higher Mach numbers is evident
- injection dependence on Mach is close to theoretically predicted $\eta \sim M^{-1} \ln M$ (MM'98)

plots from A. Hanusch, T. Liseykina, MM, 2017



p/He from A. Hanusch, T. Liseykina, MM, 2017

- p/He result automatically predicts the p/C ratio since the rest rigidity (A/Z) is the same for C and He

Some Conclusions

- the p/He ratio at $\mathcal{R} \gg 1$, is not affected by CR propagation, regardless the individual spectra
- telltale signs, intrinsic to the particle acceleration mechanism
- reproducible theoretically with no free parameters
- PIC and hybrid simulations confirm p and He injection scalings with Mach number
Hanusch et al, ICRC 2017