

# **Transport Barrier Working Group: Summary**

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**KITP Staircase '21**

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## Activities:

- Formation: Dritschel, Garbet, Tobias
  - Mechanisms: Guo, Frishman, Sarazin
  - Symmetry Breaking: Cope, Gurcan, Knobloch
  - Momentum Transport: Hughes, Galperin, Heinonen
- Thanks to all participants for stimulating and spirited discussions !

# Outline

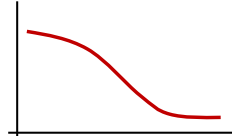
- Barriers and Layering: What and Why?
- How Barriers Form?
- Models and Scales
- Methods :
  - Quasilinear + ?
  - (How Calculate?)
    - Quasiparticles / Wave kinetics
    - BLY / Mixing Length
    - Envelope
    - ML
- Dynamics
- Some Perspective

# Barriers: What? , Why?

- Barrier:
  - Region, width  $\Delta > \Delta_c$  where turbulent on wave transport markedly reduced
  - Usually accompanied by profile steepening

• Barrier  $\leftrightarrow$  Base state suggests:

- Bistability – 2 States  $\left\{ \begin{array}{l} \text{normal} \\ \text{barrier} \end{array} \right. \leftrightarrow$  “Transport Bifurcation”



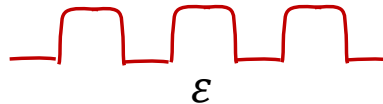
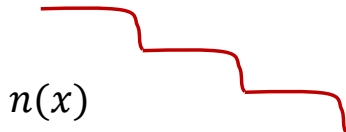
• An example: L  $\rightarrow$  H Transition (Schmitz)

$$\Delta T / \Delta r \sim 1 \text{ kV/cm}$$



• Barriers an essential element of layering

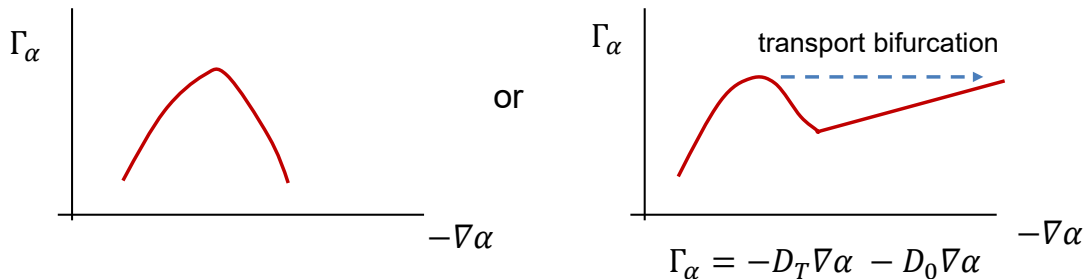
i.e.



$\longleftrightarrow$   $\left\{ \begin{array}{l} \text{Barriers} \\ \text{Separate layers} \end{array} \right.$

# Barriers: Why?

- Usually related to  $\Gamma_\alpha$  vs  $\nabla\alpha$  roll-over  $\rightarrow$  negative incremental diffusivity



- Roll-over How ?  $\rightarrow$  feedback of self-sharpened order parameter on flux  $\Gamma_\alpha$  i.e.  $D_T \downarrow$  as  $\nabla\alpha \uparrow$
- Classic: QG turbulence + jet (cast of thousands)
  - $\rightarrow$  “Predator – Prey” structure
  - $\rightarrow$  Multiple fixed points, transitions

# Barriers: How?

- Fundamentals (Dritschel, Frishman, Sarazin, Hughes) – mostly Jets...
- Irreversible nonlinear Rossby wave breaking for “inhomogeneous PV mixing”
- Shear induces wave breaking
  - Contour roll-up
  - ~ universal mechanism



leads to:

- Self-sharpening → barrier formation
- Feedback:
  - wave breaking
  - bunching const PV contours → sharper gradients
  - breaking easier

# Fundamentals, cont'd

- PV gradients – following expulsion (Falkovich, Shraiman)
  - can ‘protect’ against shear erosion (Rossby Wave Elasticity)

$$\nabla q \geq \Lambda$$

$$\omega = k_x \nabla \langle q \rangle / k^2$$

- Processes: Scale + Space (“Inverse cascade” + beyond)

Angular momentum balance

$$\frac{1}{r} \partial_r r [r \langle UV \rangle] + \alpha r U = 0$$

Energy balance

+  $\epsilon \rightarrow$  fluctuations  $\rightarrow$  mean  $\rightarrow$  dissipation  $\alpha$

Fluctuations:

$\rightarrow$  spatial flux ? – yes!

$$\frac{1}{r} \partial_r r [\langle VP \rangle] + \left\langle \frac{v(v^2 + u^2)}{2} \right\rangle + r \langle UV \rangle \partial_r \frac{U}{r} = \epsilon$$

$\tau_{ij} \partial_i u_j \rightarrow$  Reynolds work  
 $\tau_{ij} = r \langle UV \rangle$  angular momentum flux

Symmetries critical

drop in QL

spatial flux  $\leftrightarrow$  Reynolds work connection

# Fundamentals - Phase Evolution

- $\langle V_r n \rangle \rightarrow$  intensity, cross-phase set flux
  - $\rightarrow$  Barrier via phase decorrelation at fixed fluctuation level ?!
  - $\rightarrow$  Connects to phase dynamics  $\rightarrow$  thriving industry
- ExB flow  $\rightarrow \partial_r \langle UV \rangle = I \partial_x^2 \phi + I' k_y \partial_x \phi \dots$
- Phase curvature can trigger flows, initiate barriers in turbulence with homogeneous intensity
- Observed in PPT device at PKU

(Sarazin)



# Fundamentals - Phase Evolution, cont'd

- Developing interest in  $\beta$  –plane MHD jets and tachocline momentum transport
- $Z = R_m V_{A_0}^2 / \langle \tilde{V}^2 \rangle$  as key parameter (TDH, CD)  $\rightarrow$  Magnetic field effects
- $P_m > P_{m_{crit}}$  for small scale dynamo, Reynolds stress decohere, and no large scale vortex forms (Hughes)

$\leftrightarrow$

- Small scale dynamo can suppress larger scale jet
- See also  $C^3$ , P.D. in Plasma

# Models and Scales (Knobloch, Pandit, et seq)

- Poster Child Eqns, Systems?
- Cahn-Hilliard = negative diffusion, regularization + conserved order parameter
  - phase separation  $\leftrightarrow$  layering

$$\frac{\partial C}{\partial t} = D\sigma^2(-C + C^3 - \gamma\nabla^2 C) \quad F(C) = \int d^3x \left[ \frac{(C^2 - 1)^2}{4} + \frac{\gamma(\nabla C)^2}{2} \right]$$

- Swift-Hohenberg (!?) arises in Binary Fluid Convection  $\leftrightarrow$  DDC

Cross-diffusion

$$\frac{dV}{dt} + \dots = P_r R_a [T + SC] \hat{z} + \dots$$

can arise via NL

interactions

$$\frac{dT}{dt} = \nabla^2 T, \quad \frac{dC}{dt} = \tau \nabla^2 C - \nabla^2 T$$

cross-diffusion  $\rightarrow$  phase separation

- C-D = Gradient driven up-gradient "pinch"  $\rightarrow$  not a suppression feedback

# Models and Scales, cont'd

- Rich variety of dynamic patterns



- S-H Eqn. → Model of DDC, without DD effects ?!

$$\partial_t U_+ = rU - (q_c^2 + \partial_x^2)^2 U + f(U)$$

intrinsic scale  $2\pi/q_c$

bistable

- Variational:  $\frac{\partial U}{\partial t} = -\delta F / \delta U$

→ Scales: 'Emergent' scales critical:

- Complement input scale for  
2 mixing scales (BLY)

{ Rhines – Jet  
Ozmidov – Mixing  
Hinze-CHNS

DDC – ?

- $l_{OZ}^2 \sim \epsilon / N^3$  ,  $N^2 \sim \partial\rho/\partial Z$

→ NL gradient dependency in mixing → transport bifurcation!

# Methods – the T in KITP: How Calculate?

[Key Question: Irreversibility?]

(Tobias, Garbet, Guo, Gurcan, Heinonen)

- Quasilinear Theory – derivative from Sagdeev, et. al. '61

(Tobias)  $\partial_t \langle q \rangle = \partial_y \langle V \delta q \rangle + \dots$

ditch inverse cascade, ...

response

evolution by mean-fluctuation interaction and linear response

- Linear response  $\leftrightarrow$   $Ku < 1$     Kubo# =  $\tilde{V} \tau_c / l_c$     (N.B. Predict  $Ku$  ?)
- Vlasov Plasma: Hamiltonian Chaos  $\leftrightarrow$  overlap  $\frac{\omega}{k} = V$  resonances  
→ physics of irreversibility clear – underpins resonant diffusion via Fokker-Plank equation
- Jet problem → absent waves / critical layers, irreversibility less clear

Need:

- Fix-up: QL + Breaking Model → recall fundamental mixing process !

What is it?

# Wave Kinetics:

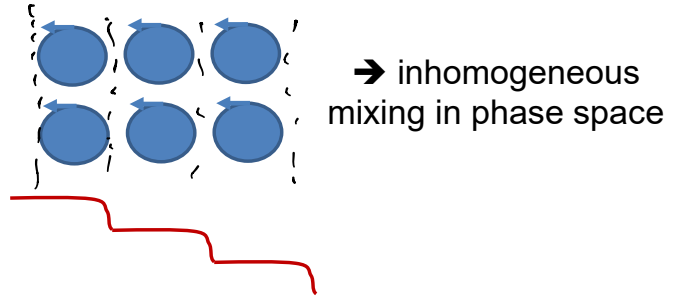
$N(x, k, t)$  – wave action density  
→ quasi-particles as wave-packets  
→ conserved phase space density

- Exploit WKE – Vlasov structural similarity
- Used for extensive prior work on DW – Zonal Flow System  $\leftrightarrow$  Quasilinear +  
→ Ray Chaos
- Here: “Staircase as BGK Solution” (Garbet) – NL solution of WKE

Requires: coarse graining

$$\gamma, \partial_k D_k \partial_k$$

→ Homogenization in phase space



- Issue: Time evolution, mergers ...?
- Ala' Lynden-Bell, most probable BGK state ?!
- Restore time dependence ala' single plasma wave

# BLY :

Bistable Mixing Length Model – in  $k - \epsilon$  style

→ quasi-particles as ‘slugs’ of density

→ here applied to Drift Wave Problem (Guo)

$l_0, l_{Rh}$

→ evolve  $\langle n \rangle, \langle \nabla^2 \phi \rangle, \epsilon$

- $l_0 / l_{Rh} > 1$  → enhanced memory (also Zonostrophic,  $Ku < 1$ )  
     $< 1$  → strong mixing
- recovers staircases in density, vorticity barrier via Rossby Wave Elasticity
- recovers several aspect of dynamics – mergers, barrier development

Issues:

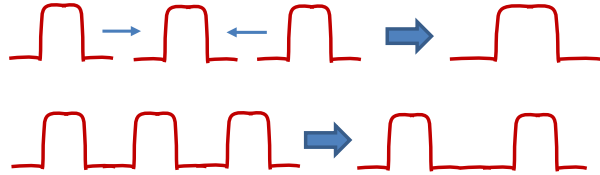
- self-sharpening vs external sharpening?, staircase asymmetry
- shear vs RWE ?
- Connect to WKE via ‘parcel kinetics’ (DOG, EAS)

# Methods, cont'd

- Envelope Theory – Eikonal + Diffusion (Gurcan)
  - NLS genre
  - Shear layer formation as 2D self-focusing phenomena
  - insight into physics of streamers / mixing (Heinonen)
- Machine Learning (2D H-W) – deduce mean field theory (glorified) regression from simulation
  - “an art form”...
  - Vorticity: Cahn-Hilliard structure
  - density: diffusion +  $\nabla \langle \nabla^2 \phi \rangle$  pinch / cross-diffusion
  - $\nabla(Vort)$  more significant than shear – conventional wisdom?
  - no sign of ‘turbulence spreading’ – conventional wisdom?
- Promising – let’s see more ...

# Dynamics (Cope, Galperin; Balmforth)

- Two types of mergers! (Balmforth)



- Migration (Cope)

- Jet motion / drift → relevant to turbulence spreading (c.f. GAM)
- Migration covered by GQL only if  $\Lambda \gtrsim 1$  Zonon included among resolved modes
- Why? → symmetry breaking by zonon
  - acts as mediator for interactions
- $V_{mig} \sim \mu L_{RH}$



## Dynamics, cont'd

- More on Mixing – Jet problem duality (Galperin)
  - Emergent scales –  $l_R \leftrightarrow l_{oz}$
  - Zonons can contribute to jet self-sharpening and barrier formation
  - Suggests GQL – type comparison test  $\leftrightarrow$  vary cut-off

# Perspective: Wave Flows '14 → BIRS Oaxaca → Staircase '21

- Interest in, citation of, BLY '98 rising, 23 years on
- Emergent scales (Rhines, Ozmidov, Hinze) appreciated
  - ➔ gradient scale → transport bifurcation
- Resurgence in the ancient art of Mixing Length Theory – bistable...
- Staircase
  - Barriers
    - drift – mediators
    - mergers - variety
  - Resilience → avalanches
- CHNS identified as an instructive dynamical model (joins CHM, DDC, ...)

- Mean Field Theory → Quasilinear + ?

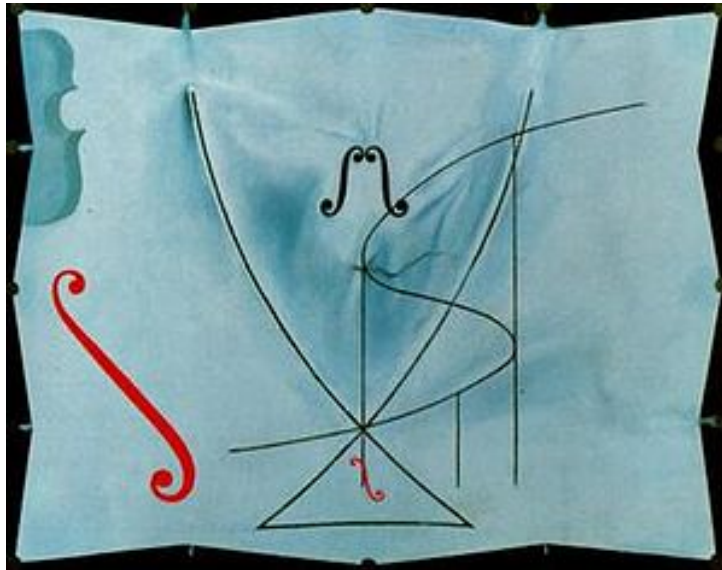
saga continues...

? = Wave Breaking (?!)

→ “discourse” ongoing

- Arctic oceanography offers plethora of fascinating opportunities

# Thanks to All !



Salvador  
Dali